

Outline for May 6, 2004

Reading: Chapters 6.1, 6.2, 6.4, 9.1–9.2.2.1

Discussion Problem

“If we do not wish to fight, we can prevent the enemy from engaging us even though the lines of encampment be merely traced out on the ground. All we need to do is to throw something odd and unaccountable in his way.

Tu Mu relates a stratagem of Chu-ko Liang, who in 149 B.C., when occupying Yang-p’ing and about to be attacked by Ssu-ma I, suddenly struck his colors, stopping the beating of the drums, and flung open the city gates, showing only a few men engaged in sweeping and sprinkling the ground. This unexpected proceeding had the intended effect; for Ssu-Ma I, suspecting an ambush, actually drew off his army and retreated.”¹

What does this paragraph say to a system administrator or security officer seeking insight to defend her systems?

Outline for the Day

1. Integrity models
 - a. Requirements
 - i. Users won’t write their own programs, but will use existing programs, databases, etc.
 - ii. Programmers develop and test programs on non-production systems
 - iii. Installing a program from the development system requires a special process
 - iv. This process must be controlled and auditable
 - v. System managers must be able to access the system state and the system logs
 - b. Separation of duty
 - c. Separation of function
 - d. Auditing
2. Biba: mathematical dual of BLP
 - a. P may read O if $L(P) \leq L(O)$ and $C(P) \subseteq C(O)$, and P may write O if $L(O) \leq L(P)$ and $C(O) \subseteq C(P)$
 - b. Combined with BLP: continue example
3. Clark-Wilson
 - a. Theme: military model does not provide enough controls for commercial fraud, *etc.* because it does not cover the right aspects of integrity
 - b. “Constrained Data Items” (CDI) to which model applies, “Unconstrained Data Items (UDIs) to which no integrity checks applied, “Integrity Verification Procedures” (IVP) verify conformance to the integrity spec when IVP is run, “Transaction Procedures” (TP) take system from one well-formed state to another
 - c. Certification and enforcement rules:
 - C1. All IVPs must ensure that all CDIs are in a valid state when the IVP is run
 - C2. All TPs must be certified as valid; each TP is associated with a set of CDIs it is authorized to manipulate
 - E1. The system must maintain these lists and must ensure only those TPs manipulate those CDIs
 - E2: The system must maintain a list of User IDs, TP, and CDIs that that TP can manipulate on behalf of that user, and must ensure only those executions are performed.
 - C3. The list of relations in E2 must be certified to meet the separation of duty requirement.
 - E3. The system must authenticate the identity of each user attempting to execute a TP.
 - C4. All TPs must be certified to write to an append-only CDI (the log) all information necessary to reconstruct the operation.
 - C5. Any TP taking a UDI as an input must be certified to perform only valid transformations, else no transformations, for any possible value of the UDI. The transformation should take the input from a UDI to a

1. Sun Tzu, *The Art of War*, James Clavell, ed., Dell Publishing, New York, NY ©1983, pp. 26–27

CDI, or the UDI is rejected (typically, for edits as the keyboard is a UDI).

E4. Only the agent permitted to certify entities may change the list of such entities associated with a TP. An agent that can certify an entity may not have any execute rights with respect to that entity

4. Cryptography

- a. codes vs. ciphers
- b. attacks: ciphertext only, known plaintext, chosen plaintext

5. Classical Cryptography

- a. monoalphabetic (simple substitution): $f(a) = a + k \bmod n$
- b. example: Caesar with $k = 3$, RENAISSANCE \rightarrow UHQDLVVDQFH
- c. polyalphabetic: Vigenère, $f_i(a) = (a + k_i) \bmod n$