

## Lecture 21: November 13, 2019

**Reading:** *text*, §16,17

**Assignments:** Homework 4, due November 25, 2019

1. Greetings and felicitations!
2. Capabilities
  - (a) Capability-based addressing
  - (b) Capabilities as security mechanisms
  - (c) Inheritance of C-Lists
3. Lock and Key
  - (a) Associate with each object a lock; associate with each process that has access to object a key (it's a cross between ACLs and C-Lists)
  - (b) Example: cryptographic (Gifford).  $X$  object enciphered with key  $K$ . Associate an opener  $R$  with  $X$ . Then:  
**OR-Access:**  $K$  can be recovered with any  $D_i$  in a list of  $n$  deciphering transformations, so  $R = (E_1(K), E_2(K), \dots, E_n(K))$  and any process with access to any of the  $D_i$ 's can access the file  
**AND-Access:** need all  $n$  deciphering functions to get  $K$ :  $R = E_1(E_2(\dots E_n(K)\dots))$
  - (c) Types and locks
4. Secret sharing
5. MULTICS ring mechanism
  - (a) Rings, gates, ring-crossing faults
  - (b) Used for both data and procedures; rights are REWA  
 $(b_1, b_2)$  access bracket—can access freely;  $(b_3, b_4)$  call bracket—can call segment through gate; so if  $a$ 's access bracket is  $(32, 35)$  and its call bracket is  $(36, 39)$ , then assuming permission mode (REWA) allows access, a procedure in:  
rings 0–31: can access  $a$ , but ring-crossing fault occurs  
rings 32–35: can access  $a$ , no ring-crossing fault  
rings 36–39: can access  $a$ , provided a valid gate is used as an entry point  
rings 40–63: cannot access  $a$
  - (c) If the procedure is accessing a data segment  $d$ , no call bracket allowed; given the above, assuming permission mode (REWA) allows access, a procedure in:  
rings 0–32: can access  $d$   
rings 33–35: can access  $d$ , but cannot write to it (W or A)  
rings 36–63: cannot access  $d$
6. Information flow
  - (a) Information flow policy, confidentiality policy, integrity policy
  - (b) Example
7. Entropy-based analysis
  - (a) Flow of information from  $x$  to  $y$
  - (b) Implicit flow of information
8. Compiler-based flow mechanisms
  - (a) Scalar declarations
  - (b) Array declarations
  - (c) Assignment statements
  - (d) Compound statements
  - (e) Conditional statements

- (f) Iterative statements
- (g) Goto statements
- (h) Procedure calls
- (i) Exceptions and infinite loops
- (j) Semaphores
- (k) Cobegin/coend
- (l) Soundness