

# Lecture 3

## September 26, 2021

# Types of Security Policies

- Military (governmental) security policy
  - Policy primarily protecting confidentiality
- Commercial security policy
  - Policy primarily protecting integrity
- Confidentiality policy
  - Policy protecting only confidentiality
- Integrity policy
  - Policy protecting only integrity

# Access Control Matrix

- Access Control Matrix Model
- Protection State Transitions
  - Commands
  - Conditional Commands
- Special Rights
- Principle of Attenuation of Privilege

# Description

objects (entities)

|       | $O_1$ | ... | $O_m$ | $S_1$ | ... | $S_n$ |
|-------|-------|-----|-------|-------|-----|-------|
| $S_1$ |       |     |       |       |     |       |
| $S_2$ |       |     |       |       |     |       |
| ...   |       |     |       |       |     |       |
| $S_n$ |       |     |       |       |     |       |

subjects

- Subjects  $S = \{ s_1, \dots, s_n \}$
- Objects  $O = \{ o_1, \dots, o_m \}$
- Rights  $R = \{ r_1, \dots, r_k \}$
- Entries  $A[s_i, o_j] \subseteq R$
- $A[s_i, o_j] = \{ r_x, \dots, r_y \}$  means subject  $s_i$  has rights  $r_x, \dots, r_y$  over object  $o_j$

# Example 1

- Processes  $p, q$
- Files  $f, g$
- Rights  $r, w, x, a, o$

|     | $f$   | $g$  | $p$    | $q$    |
|-----|-------|------|--------|--------|
| $p$ | $rwo$ | $r$  | $rwxo$ | $w$    |
| $q$ | $a$   | $ro$ | $r$    | $rwxo$ |

# Example 2

- Host names *telegraph*, *nob*, *toadflax*
- Rights *own*, *ftp*, *nfs*, *mail*

|                  | <i>telegraph</i> | <i>nob</i>                 | <i>toadflax</i>            |
|------------------|------------------|----------------------------|----------------------------|
| <i>telegraph</i> | <i>own</i>       | <i>ftp</i>                 | <i>ftp</i>                 |
| <i>nob</i>       |                  | <i>ftp, mail, nfs, own</i> | <i>ftp, nfs, mail</i>      |
| <i>toadflax</i>  |                  | <i>ftp, mail</i>           | <i>ftp, mail, nfs, own</i> |

# Example 3

- Procedures *inc\_ctr*, *dec\_ctr*, *manage*
- Variable *counter*
- Rights *+*, *-*, *call*

|                | <i>counter</i> | <i>inc_ctr</i> | <i>dec_ctr</i> | <i>manage</i> |
|----------------|----------------|----------------|----------------|---------------|
| <i>inc_ctr</i> | <i>+</i>       |                |                |               |
| <i>dec_ctr</i> | <i>-</i>       |                |                |               |
| <i>manager</i> |                | <i>call</i>    | <i>call</i>    | <i>call</i>   |

# State Transitions

- Change the protection state of system
- $|-$  represents transition
  - $X_i |-\tau X_{i+1}$ : command  $\tau$  moves system from state  $X_i$  to  $X_{i+1}$
  - $X_i |-\ast Y$ : a sequence of commands moves system from state  $X_i$  to  $Y$
- Commands often called *transformation procedures*

# Primitive Operations

- **create subject  $s$ ; create object  $o$** 
  - Creates new row, column in ACM; creates new column in ACM
- **destroy subject  $s$ ; destroy object  $o$** 
  - Deletes row, column from ACM; deletes column from ACM
- **enter  $r$  into  $A[s, o]$** 
  - Adds  $r$  rights for subject  $s$  over object  $o$
- **delete  $r$  from  $A[s, o]$** 
  - Removes  $r$  rights from subject  $s$  over object  $o$

# Creating File

- Process  $p$  creates file  $f$  with  $r$  and  $w$  permission

```
command create•file( $p$ ,  $f$ )  
    create object  $f$ ;  
    enter own into  $A[p, f]$ ;  
    enter  $r$  into  $A[p, f]$ ;  
    enter  $w$  into  $A[p, f]$ ;  
end
```

# Mono-Operational Commands

- Make process  $p$  the owner of file  $g$

**command** *make-owner*( $p, g$ )

**enter own into**  $A[p, g];$

**end**

- Mono-operational command
  - Single primitive operation in this command

# Conditional Commands

- Let  $p$  give  $q$   $r$  rights over  $f$ , if  $p$  owns  $f$   
**command**  $grant \bullet read \bullet file \bullet 1(p, f, q)$   
    **if**  $own$  **in**  $A[p, f]$   
    **then**  
        **enter**  $r$  **into**  $A[q, f];$   
    **end**
- Mono-conditional command
  - Single condition in this command

# Multiple Conditions

- Let  $p$  give  $q$   $r$  and  $w$  rights over  $f$ , if  $p$  owns  $f$  and  $p$  has  $c$  rights over  $q$

```
command grant•read•file•2( $p, f, q$ )  
  if own in  $A[p, f]$  and  $c$  in  $A[p, q]$   
  then  
    enter  $r$  into  $A[q, f]$ ;  
    enter  $w$  into  $A[q, f]$ ;  
end
```

# Copy Flag and Right

- Allows possessor to give rights to another
- Often attached to a right (called a *flag*), so only applies to that right
  - *r* is read right that cannot be copied
  - *rc* is read right that can be copied
- Is copy flag copied when giving *r* rights?
  - Depends on model, instantiation of model

# Own Right

- Usually allows possessor to change entries in ACM column
  - So owner of object can add, delete rights for others
  - May depend on what system allows
    - Can't give rights to specific (set of) users
    - Can't pass copy flag to specific (set of) users

# Attenuation of Privilege

- Principle says you can't increase your rights, or give rights you do not possess
  - Restricts addition of rights within a system
  - Usually *ignored* for owner
    - Why? Owner gives herself rights, gives them to others, deletes her rights.

# What Is “Secure”?

- Adding a generic right  $r$  where there was not one is “leaking”
  - In what follows, a right leaks if it was not present *initially*
  - Alternately: not present *in the previous state* (not discussed here)
- If a system  $S$ , beginning in initial state  $s_0$ , cannot leak right  $r$ , it is *safe with respect to the right  $r$* 
  - Otherwise it is called *unsafe with respect to the right  $r$*

# Safety Question

- Does there exist an algorithm for determining whether a protection system  $S$  with initial state  $s_0$  is safe with respect to a generic right  $r$ ?
  - Here, “safe” = “secure” for an abstract model

# Mono-Operational Commands

- Answer: *yes*

- Sketch of proof:

Consider minimal sequence of commands  $c_1, \dots, c_k$  to leak the right.

- Can omit **delete**, **destroy**
- Can merge all **creates** into one

Worst case: insert every right into every entry; with  $s$  subjects and  $o$  objects initially, and  $n$  rights, upper bound is  $k \leq n(s+1)(o+1)$

# General Case

- Answer: *no*
- Sketch of proof:
  - Reduce halting problem to safety problem
  - Map head motion of Turing machine into entering, deleting rights in the access control matrix
  - Turing machine symbols mapped into rights
  - Head position, end of tape indicated by special rights
  - Head motion represented by commands; two sets for R motion
    - One for mid-tape, one for end of tape
  - So protection system simulates a Turing machine *exactly*
  - TM halts when it enters state  $q_f$ ; this means right has leaked

# Confidentiality Models

- Overview
  - What is a confidentiality model
- Bell-LaPadula Model
  - General idea
  - Informal description of rules
- Tranquility
- Declassification

# Confidentiality Policy

- Goal: prevent the unauthorized disclosure of information
  - Deals with information flow
  - Integrity incidental
- Multi-level security models are best-known examples
  - Bell-LaPadula Model basis for many, or most, of these

# Bell-LaPadula Model, Step 1

- Security levels arranged in linear ordering
  - Top Secret: highest
  - Secret
  - Confidential
  - Unclassified: lowest
- Levels consist are called *security clearance*  $L(s)$  for subjects and *security classification*  $L(o)$  for objects

# Example

| <i>security level</i> | <i>subject</i> | <i>object</i>   |
|-----------------------|----------------|-----------------|
| Top Secret            | Tamara         | Personnel Files |
| Secret                | Samuel         | E-Mail Files    |
| Confidential          | Claire         | Activity Logs   |
| Unclassified          | Ulaley         | Telephone Lists |

- Tamara can read all files
- Claire cannot read Personnel or E-Mail Files
- Ulaley can only read Telephone Lists

# Reading Information

- Information flows *up*, not *down*
  - “Reads up” disallowed, “reads down” allowed
- Simple Security Condition (Step 1)
  - Subject  $s$  can read object  $o$  iff,  $L(o) \leq L(s)$  and  $s$  has permission to read  $o$ 
    - Note: combines mandatory control (relationship of security levels) and discretionary control (the required permission)
  - Sometimes called “no reads up” rule

# Writing Information

- Information flows up, not down
  - “Writes up” allowed, “writes down” disallowed
- \*-Property (Step 1)
  - Subject  $s$  can write object  $o$  iff  $L(s) \leq L(o)$  and  $s$  has permission to write  $o$ 
    - Note: combines mandatory control (relationship of security levels) and discretionary control (the required permission)
  - Sometimes called “no writes down” rule

# Basic Security Theorem, Step 1

- If a system is initially in a secure state, and every transition of the system satisfies the simple security condition, step 1, and the \*-property, step 1, then every state of the system is secure
  - Proof: induct on the number of transitions