

ECS 235B Module 3

Reference Monitors

Entities

- **Subject: active entity**
 - Causes information to flow or system state to change
 - Examples: processes, some devices
 - At a higher layer of abstraction: users, other computers
- **Object: passive entity**
 - Contains or receives information
 - Examples: files, some devices
 - At a higher layer of abstraction: file server, network

Reference Monitor

- *Reference monitor* is access control concept of an abstract machine that mediates all accesses to objects by subjects
- *Reference validation mechanism* (RVM) is an implementation of the reference monitor concept.
 - Tamperproof
 - Complete (always invoked and can never be bypassed)
 - Simple (small enough to be subject to analysis and testing, the completeness of which can be assured)
 - Last engenders trust by providing evidence of correctness
- Note: RVM is almost always called a reference monitor too

Examples

- *Security kernel* combines hardware and software to implement reference monitor
- *Trusted computing base (TCB)* consists of all protection mechanisms within a system responsible for enforcing security policy
 - Includes hardware and software
 - Generalizes notion of security kernel

Policy and Reference Monitor

- Reference monitor implements a given policy
 - It has a tamperproof authorization database
 - Also maintains an audit trail (record of security-related events) for review
- More on this later; we need some background first