

## Outline for April 20, 2000

1. Greetings and felicitations!
  - a. Office hours this week after today: W4-5, Th2-3
2. Chinese Wall Policy
  - a. Arises as legal defense to insider trading on London stock exchange
  - b. Low-level entities are objects; all objects concerning the same corporation form a CD (company dataset); CDs whose corporations are in competition are grouped into COIs (Conflict of Interest classes)
  - c. Intuitive goal: keep one subject from reading different CDs in the same COI, or reading one CD and writing to another in same COI
  - d. Simple Security Property: Read access granted if the object (a) is in the same CD as an object already accessed by the subject, or (b) is in a CD in an entirely different COI. Assumes correct initialization
  - e. Theorems: (1) Once a subject has accessed an object, only other objects in that CD are available within that COI; (2) subject has access to at most 1 dataset in each COI class
  - f. Exceptions: sanitized information
  - g. \* Property: Write access is permitted only if (a) read access is permitted by the simple security property; and (b) no object in a different CD in that COI can be read, unless it contains sanitized information
  - h. Comparison to BLP: (1) ability to track history; (2) in CW, subjects choose which objects they can access but not in BLP; (3) CW requires both mandatory and discretionary parts, BLP is mandatory only.
3. ORCON
  - a. Originator controls distribution
  - b. DAC, MAC inadequate
  - c. Solution is combination
4. Role-based Access Control (RBAC)
  - a. Definition of role
  - b. Partitioning as job function
  - c. Discuss Data General model
5. Secure vs. Precise
  - a. Confidentiality only
  - b. Assume: output of a function encodes all available information about inputs (such as resource usage, *etc.*)
  - c. Protection mechanism: given function  $p$ , it's a function  $m$  such that either  $m = p$  for a given set of inputs, or  $m$  produces an error message
  - d. Confidentiality policy: function which checks that the particular inputs are in the authorized set of inputs
  - e. Security:  $m$  is secure iff there is an  $m'$  such that, for all inputs,  $m = m'(c(\dots))$ , *i.e.*,  $m$ 's values consistent with stated confidentiality policy
  - f. Precision:  $m_1, m_2$  distinct protection mechanisms.  $m_1$  as precise as  $m_2$  if, for all inputs,  $m_1 = p$  implies  $m_2 = p$ .  $m_1$  is more precise if there is an input such that  $m_1 = p$  and  $m_2 \neq p$  on that input.
  - g. Union:  $m_1 \cup m_2 = m_3$ , where  $m_3 = p$  iff  $m_1 = p$  and  $m_2 = p$ ; otherwise,  $m_3 = m_1$ .
  - h. ICBS: Let  $m_1, m_2$  besecure protection mechanisms for a program  $p$  and policy  $c$ . Then  $m_1 \cup m_2$  is also a secure protection mechanism for  $p$  and  $c$ . Further,  $m_1 \cup m_2$  is more precise than either  $m_1$  or  $m_2$ .
  - i. Generalizing: for any program  $p$  and security policy  $c$ , there exists a precise, secure mechanism  $m^*$  such that, for all secure mechanisms  $m$  associated with  $p$  and  $c$ ,  $m^*$  is more precise than  $m$ .
  - j. BUT: there is no effective procedure that determines a maximally precise, secure mechanism for a policy and program.